

Detailed Fees Schedule



No-Load Mutual Funds			Exchange Traded Funds (ETFs)			
	AssetMark or Altegris Funds ^{2,3,4}		Other Mutual Funds			
Portfolio Strategists	Callan Associates	S	DoubleLine			
	Litman Gregory	TC	Capital	AR		
	New Frontier	S	Eaton Vance	TU, AR		
	Altegris Advisors	ALT	Forward	TU		
			J.P. Morgan	TC, AR		
			Litman Gregory	TC		
			Stadion-MF	TU		
Platform Fee Schedule ¹ per Client			Platform Fee Schedule ¹ per Client			
\$0 - \$100K	0.00%	0.45%	\$0 - \$100K	0.45%	0.65%	0.90%
\$100 - \$250K	0.00%	0.45%	\$100 - \$250K	0.45%	0.65%	0.90%
\$250K - \$500K	0.00%	0.40%	\$250K - \$500K	0.45%	0.60%	0.85%
\$500K - \$1 mm	0.00%	0.35%	\$500K - \$1 mm	0.45%	0.55%	0.80%
\$1 mm - \$2 mm	0.00%	0.30%	\$1 mm - \$2 mm	0.40%	0.50%	0.75%
\$2 mm - \$3 mm	0.00%	0.20%	\$2 mm - \$3 mm	0.40%	0.40%	0.70%
\$3 mm - \$5 mm	0.00%	0.20%	\$3 mm - \$5 mm	0.35%	0.40%	0.70%
Over \$5 mm	0.00%	0.20%	Over \$5 mm	0.25%	0.40%	0.70%
Custody Fees	Annual Account Fees		Custody Fees	Asset Based Pricing		
AMTC, PAS, TDA, FID	\$0	\$150	AMTC, PAS, TDA, FID	\$250 / 0.25% - 0.05% ⁸		

Unified Managed Accounts			Guided Portfolios		
	Active Return Opportunities (ARO)	GMS and Privately Managed Portfolios (PMP)		GPS Select Solutions ⁴	GPS Solutions ^{2,4}
Portfolio Strategists	Savos Investments TU	Savos Investments TC	Portfolio Strategist	AssetMark	AssetMark
Platform Fee Schedule ¹ per Client - UMAs are charged an investment manager flat fee of 0.60% at all asset levels.			Platform Fee Schedule ¹ per Client		
\$0 - \$100K	0.65%	0.40%	\$0 - \$100K	0.65%	0.00%
\$100 - \$250K	0.65%	0.25%	\$100 - \$250K	0.65%	0.00%
\$250K - \$500K	0.45%	0.20%	\$250K - \$500K	0.65%	0.00%
\$500K - \$1 mm	0.40%	0.15%	\$500K - \$1 mm	0.60%	0.00%
\$1 mm - \$2 mm	0.40%	0.10%	\$1 mm - \$2 mm	0.55%	0.00%
\$2 mm - \$3 mm	0.40%	0.10%	\$2 mm - \$3 mm	0.45%	0.00%
\$3 mm - \$5 mm	0.40%	0.10%	\$3 mm - \$5 mm	0.40%	0.00%
Over \$5 mm	0.25%	0.00%	Over \$5 mm	0.35%	0.00%
Custody Fees	Annual Account Fees	Annual Account Fees	Custody Fees	Annual Account Fees	
AMTC, PAS, TDA, FID	Included in platform fee	Included in platform fee	AMTC, PAS, TDA, FID	Included in platform fee	\$0

¹ Does not include any additional fees charged by BD firms with supervisory responsibility over the RIA activities of their representatives.

² Custody fees do not apply to Altegris, GPS Solutions, Callan, New Frontier and Litman Gregory models in which AssetMark proprietary or affiliated funds are used.

³ Client accounts invested in investment solutions using the GuideMark Funds, GPS Solutions or Alternative Strategies are invested in mutual funds for which AssetMark or its affiliate, Altegris Advisors, LLC, acts as investment adviser. AssetMark and Altegris will receive fees from the mutual funds in which these accounts invest. The mutual fund fees differ between funds and the total fees collected will vary depending upon the profile selected by the client and the fund allocation within each profile.

⁴ For more detailed fee information on the Guided Portfolios and Altegris, refer to the Disclosure Brochure.

⁷ Platform fee schedule includes custody fee.

⁸ Custody fee of 0.25% - 0.05% is only applicable to the ETF billable assets held in the account.

Asset Allocation Approaches	
S	Strategic
TC	Tactical Constrained®
TU	Tactical Unconstrained®
AR	Absolute Return
Additional Investments	
ALT	Alternative Investments
Custodians	
AMTC	AssetMarkTrust Company
PAS	Pershing Advisor Solutions
TDA	TD Ameritrade
FID	Fidelity

Privately Managed Accounts						
Individually Managed Accounts ⁵						
Investment Management Firms	City National Rochdale	TU	IMA - Equity / Balanced	Savos Preservation	AR	IMA – Fixed Income Standard IMA – Fixed Income Laddered Eaton Vance Fixed Income
Platform Fee Schedule ¹ per Client						
\$0 - \$100K	0.45%		0.45%	0.75%	0.30%	0.20%
\$100 - \$250K	0.45%		0.45%	0.75%	0.30%	0.20%
\$250K - \$500K	0.45%		0.45%	0.50%	0.30%	0.20%
\$500K - \$1 mm	0.45%		0.45%	0.50%	0.25%	0.20%
\$1 mm - \$2 mm	0.40%		0.40%	0.45%	0.20%	0.15%
\$2 mm - \$3 mm	0.40%		0.40%	0.45%	0.20%	0.15%
\$3 mm - \$5 mm	0.35%		0.35%	0.40%	0.20%	0.15%
Over \$5 mm	0.25%		0.25%	0.30%	0.20%	0.15%
Custody Fees	Min. Annual Account Fees/Asset Based Pricing ⁶			Annual Account Fees ⁷		
AMTC	\$375 / 0.15% - 0.05%		\$250 / 0.15% - 0.05%	Incl. in platform fee	Incl. in platform fee	Incl. in platform fee
PAS	\$375 / 0.15% - 0.05%		\$250 / 0.15% - 0.05%	Incl. in platform fee	Incl. in platform fee	Incl. in platform fee
TDA	\$375 / 0.15% - 0.05%		\$350 / 0.15% - 0.05%	Incl. in platform fee	Incl. in platform fee	Incl. in platform fee
FID	\$375 / 0.15% - 0.05%		\$375 / 0.17% - 0.04%	Incl. in platform fee	N/A	N/A

Privately Managed Accounts (con't)		
	CMA³	Manager Select
Investment Management Firms	Callan Associates Litman Gregory	S TC Best of Class Managers BlackRock
Platform Fee Schedule ¹ per Client		
\$0 - \$100K	0.80%	0.90%
\$100 - \$250K	0.80%	0.90%
\$250K - \$500K	0.80%	0.90%
\$500K - \$1 mm	0.80%	0.90%
\$1 mm - \$2 mm	0.75%	0.85%
\$2 mm - \$3 mm	0.75%	0.85%
\$3 mm - \$5 mm	0.70%	0.80%
Over \$5 mm	0.65%	0.75%
Custody Fees	Min. Annual Account Fees / Asset Based Pricing	
AMTC, PAS, TDA, FID	\$750 / 0.18% - 0.05%	\$250-\$375 / 0.28% - 0.05%

Administrative Accounts			
	General Securities	Cash Alternative	Custodial Sweep
Platform Fee Schedule ¹ per Client			
\$0 - \$100K	0.25%	0.25%	0.25%
\$100 - \$250K	0.25%	0.25%	0.25%
\$250K - \$500K	0.15%	0.15%	0.15%
\$500K - \$1 mm	0.10%	0.10%	0.10%
\$1 mm - \$2 mm	0.10%	0.10%	0.10%
\$2 mm - \$3 mm	0.10%	0.10%	0.10%
\$3 mm - \$5 mm	0.10%	0.10%	0.10%
Over \$5 mm	0.10%	0.10%	0.10%
Custody Fees	Annual Account Fees		
AMTC, PAS, TDA, FID	\$50	\$150	\$150

¹ Does not include any additional fees charged by BD firms with supervisory responsibility over the RIA activities of their representatives.

³ Client accounts invested in investment solutions using the GuideMark Funds, GPS Solutions or Alternative Strategies are invested in mutual funds for which AssetMark or its affiliate, Altegris Advisors, LLC, acts as investment adviser. AssetMark and Altegris will receive fees from the mutual funds in which these accounts invest. The mutual fund fees differ between funds and the total fees collected will vary depending upon the profile selected by the client and the fund allocation within each profile.

⁵ The Individually Managed Accounts Fee Schedule does not include Investment Management Firm fees, which typically range from 0.35-0.40% per year for fixed income portfolios (with the exception of Eaton Vance Laddered which has a 0.16% manager fee) and 0.35 - 0.60% per year for equity/balanced portfolios. The Consolidated Managed Accounts (CMA) fee includes Investment Management Firm fees.

⁶ Asset-based pricing schedule for most equity managers begins at 0.25-0.27% for first \$250,000 per manager account, and scales down to 0.05-0.07% for larger accounts. Pricing for fixed income and balanced management firms, as well as certain "high-turnover" equity management firms, will vary from this schedule.

⁷ Annual custody fee rates for Eaton Vance and Natixis/Loomis range between 0.05% to 0.17%. Please note the Eaton Vance and Natixis/Loomis Fixed Income Strategies are not available at TD Ameritrade at this time.

See your Regional Consultant for a detailed fee schedule or run a Client Investment Proposal with your selected product and investment amount(s) to see specific fees.

Asset Allocation Approaches	
S	Strategic
TC	Tactical Constrained®
TU	Tactical Unconstrained®
AR	Absolute Return
Custodians	
AMTC	AssetMark Trust Company
PAS	Pershing Advisor Solutions
TDA	TD Ameritrade
FID	Fidelity

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